



**eValid8 Corporation**

8062 High Castle Road, Suite 202  
Ellicott City, Maryland 21043

Telephone: 443.250.7681

---

September 10, 2005

Mr. Douglas Miller  
Chair, State of Illinois PKI Policy Authority  
Department of Central Management Services  
120 W. Jefferson Street  
Springfield, IL 62702

Re: CMS Illinois Department of Central Management Services, State of Illinois  
Public Key Infrastructure Certification Practice Statement, Version 1.6, July  
15, 2005

CMS Department of Central Management Services letter to Mr. Douglas  
Miller, PKI Resolution Plan, dated August 29, 2005

State of Illinois, Central Management Services, Bureau of Communication  
and Computer Services, Public Key Infrastructure Annual Compliance  
Auditor's Report, July 27, 2005, Version 1

State of Illinois, Central Management Services, Bureau of Communication  
and Computer Services, Public Key Infrastructure Annual Compliance  
Auditor's Report, September 10, 2005, Version 1.1

Subject: State of Illinois Annual Compliance Audit – Public Key Infrastructure

Dear Mr. Miller:

The State of Illinois, Department of Central Management Services (CMS) has a requirement to conduct an annual compliance audit on the services provided by the CMS Public Key Infrastructure (PKI). The Operational Authority (OA) issued a purchase order based upon the State of Illinois Bid Number: 05-000000001315 PKI SAS Type 2 audits, which was awarded to eValid8 Corporation, a company authorized to conduct business in the States of Illinois and Maryland.

eValid8 Corporation proceeded to conduct an independent review of the CMS PKI policy and practices related to providing credentialing and encryption services to the constituents of the State of Illinois as well as residents of other States. This audit covered the operational period of March 1, 2004 through July 27, 2005 and investigated the management assertions<sup>1</sup> agreed upon by this corporation and the State of Illinois.

The independent Auditor, hereby provides the following executive summary of the findings of this audit based upon the detailed report titled State of Illinois, Central Management Services, Bureau of Communication and Computer Services, Public Key Infrastructure Annual Compliance Auditor's Report, September 10, 2005, Version 1.1.

This letter serves as a notice to the State of Illinois, and validates the level of compliance of the CMS PKI to its governing document, the Illinois certificate policy<sup>2</sup>. This letter is in response to the audit report that identified 38 areas of concern. These non-compliance areas of concerns consist of the following categories:

- i. 27 categories, which were passed with a recommendation status; indicating that the practice was compliant within the infrastructure, but the practice could be improved by incorporating the recommendation;
- ii. 8 categories categorized with a pending status; indicating that the practice was "Missing" and requiring resolution by the CMS PKI Policy Authority or Operational Authority; and
- iii. 3 areas identified with a "Does Not Comply" status; indicating that the CMS PKI needs to take immediately action to resolve the outstanding issue.

The list of those findings (areas of concern) was delivered to the Illinois CMS PKI for resolution via the State of Illinois PKI Audit Report conducted by eValid8 Corporation, dated July 27, 2005. In response to those findings, the Illinois Policy Authority voted and approved version 1.6 of the CPS, submitted a resolution plan to address the 11 items missing or not in compliance. The Illinois PKI has submitted a new certificate policy (version 1.6) and a new certification practice statement (version 1.6), to the Illinois PA for a vote on all changes due to the July 27<sup>th</sup>, 2005 findings.

After receipt, review, verification, and incorporation of this new data by the auditor, said auditor finds the Illinois CMS PKI certification practices statement to be compliant with its governing document, the certificate policy.

This auditor finds that the State of Illinois practices are in line with its policy requirements, trust and demonstration of capabilities have been adhered to during this audit period, and all recommendations have been considered by the Illinois CMS PKI for enhancement of services. It is of this Auditors' opinion that this PKI is compliant,

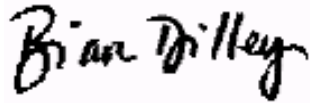
---

<sup>1</sup> eValid8 Corporation letter dated June 9, 2005 to Mr. Douglas Miller, Chair, CMS PKI Policy Authority, Subject: Management Assertions

<sup>2</sup> CMS Illinois Department of Central Management Services State of Illinois Certificate Policy for Digital Signature And Encryption Applications v1.5, dated April 6, 2005

operated, supervised, and maintained at a level of assurance commensurate with its stated policy parameters and asserted object identifiers.

Sincerely,

A handwritten signature in black ink that reads "Brian Dilley". The signature is written in a cursive, slightly slanted style. The letters are connected, and the overall appearance is that of a personal or professional signature.

Brian Dilley  
President

cc: Mark Anderson, State of Illinois PKI Manager